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WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/043,649         | 01/10/2002          | Sacha J. Holland      | A-70219-1/RMS/DHR      |

CONFIRMATION NO. 6039

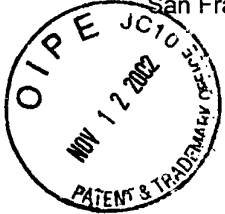
FORMALITIES LETTER



\*OC000000007830992\*

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
Suite 3400  
Four Embarcadero Center  
San Francisco, CA 94111-4187

Date Mailed: 04/09/2002



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$252.
  - \$252 for 6 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 687.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May

11/13/2002 WABDELRI 00000042 10043649

370.00 DP  
65.00 DP  
252.00 DP

01 FC:2001  
02 FC:2051  
03 FC:2201

15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney Docket No. A-70219-1/RMS/DHR  
Dorsey & Whitney Matter No. 467802-52

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                       |  |                 |                  |
|-----------------------|--|-----------------|------------------|
| First Named Inventor: | MANCEBO, et al.  | Examiner:       | Not yet assigned |
| Appln. No.:           | 10/043,649   |                 |                  |
| Filing Date:          | 10 January 2002  |                 |                  |
| Title:                | CLONING OF A NOVEL INHIBITOR OF ANTIGEN-RECEPTOR SIGNALING BY A RETROVIRAL-BASED FUNCTIONAL SCREEN | Group Art Unit: | 1645             |

FILING OF MISSING PARTS  
OF APPLICATION UNDER 37 CFR 1.53(f)

Box **MISSING PARTS**  
Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this document is being sent via First Class U.S. mail addressed to:  
Commissioner for Patents, **Box Missing Parts**,  
Washington, D.C. 20231 on 5 November 2002.

By:

Mari Kleijndam  
Mari Kleijndam

Dear Sir:

In response to the Notice To File Missing Parts of Application - Filing Date Granted, mailed on 9 April 2002, pursuant to 37 CFR 1.53(f), the following documents are enclosed:

1. Three Declaration and Power of Attorney documents executed by four inventors;
2. Seventeen sheets of formal drawings;
3. Petition for Extension of Time;
4. **COPY** of the Notice to File Missing Parts of Application (Part 2 to be returned with Response);
5. Check No. 1886 in the amount of \$1,667.00 which includes payment of the filing fee of \$370.00; surcharge of \$65.00 for filing the late Declaration; extra claims fee of \$252.00 for six extra independent claims over three and Petition for Five-Month Extension of Time Fee of \$980.00;
6. **COPY** of Statement and Preliminary Amendment RE Sequence Listing, as filed 5 November 2002; and
7. Return Receipt Postcard.

Serial No.: 10/043,649  
 Filed: 10 January 2002

The Commissioner is authorized to charge any deficiencies or credit any overpayments to Deposit Account No. 50-2319, referencing Order No. A-70219-1/RMS/DHR; 467802-52.

Respectfully submitted,

DORSEY & WHITNEY LLP

Date: 5 Nov. 2002

By: Michael A. Kaufman  
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 for: Robin M. Silva  
 Reg. No. 38,304  
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